

CITY OF SPRINGFIELD
Public Improvement Contracts
ORS 279C.375

FINANCIAL RESPONSIBILITY FORM

Organization Name: _____

Principal(s): _____
Name / Title

Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ FAX: _____

Email: _____

The information provided in this form is part of the City's inquiry concerning Bidder responsibility pursuant to ORS 279C.375 for public works/public improvement projects. Please print clearly or type.

Answer all questions. Submission of a form with unanswered questions, incomplete, or illegible answers may result in a finding that you are not a responsible Bidder. This document will become part of your response to the solicitation and will be subject to Oregon Public Records laws. Any information that may be considered confidential must be marked according to instructions in the solicitation document.

1. Is your company a Resident Oregon Bidder as defined in ORS 279A.120?

Resident Non-resident

Note: "Resident bidder" means a bidder that has paid unemployment taxes or income taxes in the state of Oregon during the 12 calendar months immediately preceding submission of the bid, has a business in this state and has stated in the bid whether the bidder is a "resident bidder".
ORS 279A.120(1)(b)

2. Contractor's Construction Board Number: _____ as required by ORS 701.055.
Expiration date: _____

3. Companies from whom you obtain surety bonds:

Surety Company #1
Name: _____
Contact Name: _____
Telephone: _____ FAX: _____
Present Amount of Bonding Coverage (\$): _____

Surety Company #2 (if needed)
Name: _____
Contact Name: _____
Telephone: _____ FAX: _____
Present Amount of Bonding Coverage (\$): _____

4. Has your application for Surety Bond ever been declined within the past 10 years?

Yes No

If yes, explain. _____

5. During the past two years, have you been charged with a failure to meet the claims of your subcontractors or suppliers?

Yes No

If yes, explain. _____

6. Has a judgment been entered against your company within the past 15 years finding it to be in breach of any contract for unperformed or defective work?

Yes No

If yes, explain. _____

7. Has any officer, employee or agent of your company ever been convicted of a criminal offense arising out of obtaining, attempting to obtain, or performing a public or private contract or subcontract?

Yes No

If yes, explain. _____

8. Has any officer, employee or agent of your company been convicted under state or federal law of embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property or any other offense indicating a lack of business integrity or business honesty?

Yes No

If yes, explain. _____

9. Has your company or any officer, employee or agent of your company been convicted under state or federal antitrust laws?

Yes No

If yes, explain. _____

10. Has any officer or partner or principal of your organization ever been an officer, partner or principal of another Organization that failed to complete a construction contract?

Yes No

If yes, explain. _____

11. Indicate the total amount of work, expressed in dollars, your company reasonably believes it is capable of bonding at any one time: \$_____. What portion of this amount remains available at time of completion of this form? \$_____.
12. Has your firm ever been at any time in the last ten years the debtor in a bankruptcy proceeding?
 Yes No
If yes, explain. _____

13. Does your firm or any first tier subcontractors have any outstanding judgments pending against it?
 Yes No
If yes, explain. _____

14. In the past ten years, has your firm been a party to litigation, arbitration or mediation on a matter related to payment to subcontractors or work performance on a contract? Check "Yes" even if the matter proceeded to arbitration or mediation without court litigation.
 Yes No
If yes, explain. _____

15. Has any officer, partner, or principal of your company discontinued business operation with outstanding debts?
 Yes No
If yes, explain. _____

16. Have all officers, partners or principals of your company and, to the best to your knowledge, all applicable Subcontractors, complied with the tax laws of this state or a political subdivision thereof including, but not limited to, ORS 305.620 and ORS Chapters 316, 317 and 318?
 Yes No
If no, explain. _____

17. Complete the attached Experience/ Reference form, Attachment 1, for your firm and submit with bid package.
18. Include with bid package a list of other business entities in which the Principles of your firm are currently or have previously been involved with or financially obligated to during the past 5-10 years.

19. At the sole discretion of City prior to award, responsive bidders may be required to submit Financial Statements including but not limited to one or more of the following: Balance Sheet, Profit and Loss Statement, Statement of Cash Flow, and/or past tax returns for the most recent past two (2) years.

Declaration and Signatures

The undersigned hereby declares that he or she is duly authorized to complete and submit this Organization/Responsibility Form and that the statements contained herein are true and correct as of the date set forth below. The undersigned further agrees to provide additional financial statements (described in #20 above) upon request by City's Finance Director. Incomplete, incorrect or misleading information may be reason for a determination by the City of non-responsibility.

By: _____ Date: _____
(Signature of authorized official)

Name: _____ Title: _____
(Please type or print) (Please type or print)

For: _____
(Firm's name - Please type or print)

Business Organization: (Check one)

- | | | | |
|--------------------------|---------------|--------------------------|---------------------------|
| <input type="checkbox"/> | Corporation | <input type="checkbox"/> | Limited Liability Company |
| <input type="checkbox"/> | Partnership | <input type="checkbox"/> | Sole Proprietorship |
| <input type="checkbox"/> | Joint Venture | <input type="checkbox"/> | Other _____ |

Attachment 1

Current Contracts in Force/Previous Experience – minimum of three required of similar nature with public sector work.

Contract #1

Location (city/state)	
Owners Name	
Type of Work	
% Completed	
Estimated Completion Date	

Contract #2

Location (city/state)	
Owners Name	
Type of Work	
% Completed	
Estimated Completion Date	

Contract #3

Location (city/state)	
Owners Name	
Type of Work	
% Completed	
Estimated Completion Date	

References – minimum of two project owner references and two subcontractor references. References may be contacted to discuss submitting Contractor's qualifications.

#1 Project Owner Reference

Reference Name	
Business or Employer	
Telephone	
Project Name/\$ Amount	

#2 Project Owner Reference

Reference Name	
Business or Employer	
Telephone	
Project Name/\$ Amount	

#1 Subcontractor Reference

Reference Name	
Business or Employer	
Telephone	
Project Name/\$ Amount	

#2 Subcontractor Reference

Reference Name	
Business or Employer	
Telephone	
Project Name/\$ Amount	